

For Six Month Period Ending 08/31/2009
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant
Bracy Tucker Brown, Inc. dba as Bracy Tucker
Brown & Valanzano

(b) Registration No.
5878

(c) Business Address(es) of Registrant
1615 L Street, NW
Suite 520
Washington, DC 20036

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:
- | | | |
|---------------------------|------------------------------|-----------------------------|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
- (b) If an organization:
- | | | |
|--------------------------|------------------------------|--|
| (1) Name | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
- (c) Explain fully all changes, if any, indicated in items (a) and (b) above.
N/A

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IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4 AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date connection ended
N/A	N/A	N/A

- (b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
N/A	N/A	N/A	N/A	N/A

5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe his service.

N/A

- (b) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
N/A	N/A	N/A

- (c) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
None	None	None	None	N/A

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement?

Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

None

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Name of foreign principal

Date of termination

None

N/A

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Name and address of foreign principal

Date acquired

None

N/A

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

FundaSistemas (non-profit organization for which the original filing was made in August 2008.)

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A³Yes ☐No ☐Exhibit B⁴Yes ☐No ☐

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during the 6 month period?

Yes ☐No ☒

If yes, have you filed an amendment to these exhibits?

Yes ☐No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157), sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

FundaSistemas and Executive Director/President Dr. Rodolfo Paiz Andrade. During this 6-month reporting period Bracy Tucker Brown & Valanzano provided advice to FundaSistemas on how to verbally present systems-thinking ideas for promoting economic development in Guatemala and as a new way to approach Hemispheric relations. In addition, we conducted meetings on March 12, 2009 with think-tank and government officials to introduce the systems-thinking approach and illicit feedback on the broad concept. Three meetings were held on Thursday, March 12, 2009 and arranged by phone and email. A biography of Dr. Paiz was provided and reported in the last FARA registration, but no other papers or informational materials were disseminated. The March 12, 2009 meetings were held with the following people:

1. Council of Economic Advisors, Benjamin Dennis and William Powers, to discuss systems-thinking concept.
2. Center for American Progress, Vanessa Cardenas and Stephanie Miller, to discuss systems-thinking concept.
3. New America Foundation, Patrick Doherty, to discuss systems-thinking concept.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates and places of delivery, names of speakers and subject matter.

None

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

None

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

N/A

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶.

Date	From whom	Purpose	Amount
March 3, 2009	International Financial and Investment Services, Inc. on behalf of FundaSistemas	To advise on approach and set up meetings with think tank and government officials to introduce systems-thinking concept for Hemispheric Relations.	\$10,000

\$10,000

Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
None	N/A	N/A	N/A

⁶ 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS – MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

N/A

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To whom	Purpose	Amount
3/12/2009	Kinthead's Restaurant	Lunch between Dr. Paiz, Michael Bracy and Tracy Tucker of FundaSistemas and Bracy Tucker Brown & Valanzano to discuss approach for meetings held with think tank and government officials	\$117.90
3/1/2009 - 8/31/2009	Bracy Tucker Brown, Inc. dba as Bracy Tucker Brown & Valanzano	Office expenses including telephone, federal express, insurance, photocopying.	\$882.10
3/1/2009 - 8/31/2009	Bracy Tucker Brown, Inc. dba as Bracy Tucker Brown & Valanzano	Salaries - the remaining portion of the fund provided by FundaSistemas was used to support salaries of those registered to conduct research, set up meetings and provide advice and counsel.	\$9,000.00

\$10,000.00

Total

(b) DISBURSEMENTS – THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
N/A	N/A	N/A	N/A	N/A

(c) DISBURSEMENTS – POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒ No ☐

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
5/26/2009	\$1,000.00	People for Obey	Rep. David Obey
5/26/2009	\$ 500.00	A Whole Lot of People for Grijalva	Rep. Raul Grijalva
5/27/2009	\$ 500.00	People for Obey	Rep. David Obey
6/23/2009	\$ 250.00	A Whole Lot of People for Grijalva	Rep. Raul Grijalva

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials ¹²?

Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

FundaSistemas and Executive Director/President Dr. Rodolfo Paiz Andrade

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☒ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

FundaSistemas and Executive Director Dr. Rodolfo Paiz Andrade provided Bracy Tucker Brown, Inc. dba as Bracy Tucker Brown & Valanzano payment of \$10,000 in March 2009 to advise on approach and set up meetings with think tank and government officials on systems-thinking concept. The only informational materials created and distributed was a biography of Dr. Paiz.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- | | | | |
|---|--|--|---|
| <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Magazine or newspaper articles | <input type="checkbox"/> Motion picture films | <input type="checkbox"/> Letters or telegrams |
| <input type="checkbox"/> Advertising campaigns | <input type="checkbox"/> Press releases | <input type="checkbox"/> Pamphlets or other publications | <input type="checkbox"/> Lectures or speeches |
| <input type="checkbox"/> Internet | <input checked="" type="checkbox"/> Other (specify) <u>Biography of Dr. Paiz, Executive Director/President</u> | | |

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- | | | |
|--|---|---|
| <input type="checkbox"/> Public officials | <input type="checkbox"/> Newspapers | <input type="checkbox"/> Libraries |
| <input type="checkbox"/> Legislators | <input type="checkbox"/> Editors | <input type="checkbox"/> Educational institutions |
| <input checked="" type="checkbox"/> Government agencies | <input type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups |
| <input checked="" type="checkbox"/> Other (specify) <u>Think tanks</u> | | |

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☒ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

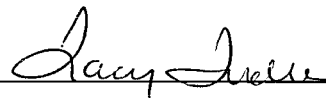
VI – EXECUTION

In accordance with 28 U.S.C. §1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Type or print name under each signature¹³)

September 30, 2009



Tracy Tucker

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¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

UNITED STATES DEPARTMENT OF JUSTICE
FARA REGISTRATION UNIT
NATIONAL SECURITY DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1. Is your answer to Item 16 of Section V (Informational Materials – page 8 of Form CRM-154, formerly Form OBD-64-Supplemental Statement):

YES X or NO _____

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

YES X or NO _____

(If your answer to question 2 is "yes" please forward for our review copies of all material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Tracy Tucker
Signature

September 30, 2009
Date

Tracy Tucker
Please type or print name of
Signatory on the line above

Vice President
Title

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U.S. Department of Justice

National Security Division

Washington, DC 20530

THIS FORM IS TO BE AN OFFICIAL ATTACHMENT TO YOUR CURRENT SUPPLEMENTAL
STATEMENT - PLEASE EXECUTE IN TRIPLICATE

SHORT-FORM REGISTRATION INFORMATION SHEET

SECTION A

The Department records list active short-form registration statements for the following persons of your organization filed on the date indicated by each name. If a person is not still functioning in the same capacity directly on behalf of the foreign principal, please show the date of termination.

Short Form List for Registrant: Bracy Tucker Brown, Inc.

Last Name	First Name and Other Names	Registration Date	Termination Date	Role
Bracy	Michael	08/15/2008	N/A	
Tucker	Tracy	08/15/2008	N/A	
Bracy	Terrence L.	08/15/2008	N/A	

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U.S. Department of Justice

National Security Division

Washington, DC 20530

SECTION B

In addition to those persons listed in Section A, list below all current employees rendering services directly on behalf of the foreign principals(s) who have not filed short-form registration statements. (Do not list clerks, secretaries, typists or employees in a similar or related capacity). If there is some question as to whether an employee has an obligation to file a short-form, please address a letter to the Registration Unit describing the activities and connection with the foreign principal.

Name	Function	Date Hired
<u>None</u>	<u>N/A</u>	<u>N/A</u>

Signature: Racy Juler

Date: September 30, 2009

Title: Vice President

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Biography of Dr. Rodolfo E. Paiz

From Dr. Rodolfo E. Paiz—

Subject **CV of Principal Investigator (PI) and Publication List**

I considered necessary to introduce myself before you get to read the usual list of my CV that is attached to this personal memorandum. To better do this, please imagine that you are with me in a mountaintop, and from there we can see what is in the past, in the present and in the future of my life.

Ten years ago, I used to describe myself as a servant leader. Now, I rather present myself as someone who is 'serving life'. This beautiful concept was recently developed by Joseph Jaworski and Peter Senge in the book generally known as *Synchronicity*. Serving life means one has taken the pathos to become a force of nature that is trying to transform and create new circumstances and domains where people will have better opportunities. I am 67 years old, and I see the rest of my life being totally dedicated to create new domains and new realities for my countrymen in Guatemala and in other places in the world where poverty is still a systemic problem.

All my life I have been an entrepreneur having as a context a family enterprise that everybody knows in Guatemala and in Central America as 'Almacenes Paiz'—Supermarkets. When our company was 80 years old, which was 5 years ago, we decided to sell part of our business to Wal-Mart, and since then we have become partners occupying all the territory of Central America, from Guatemala to Costa Rica. The experience that I gained working with my other four brothers in managing this large family company, which employed more than 7,000 workers, made me be aware of the process of how a learning team can be transform into a formidable organization. I have been applying that learning process to the other activities and dreams where I have been involved in other fields, such as: academic, politics, and serving in a variety of different civil society organizations.

My academic life started when I graduated as a Civil Engineer, and it finished nine years later, after obtaining a DBA in 1974 at Harvard University, and leaving my job as Academic Director of INCAE—Central American Institute of Business Administration—in 1976. Besides teaching, I am very happy to remember that part of my work consisted in organizing INCAE'S new campus in Nicaragua with the assistance from Harvard.

I entered into the political arena in 1984, and I served as Minister of Finance. There, I tried to see how the management of macro-economic policies could bring solutions to poverty. I understood that their impact on the national economy is very important, but that they are not designed to bring new opportunities to our very poor villages. This awareness made me tried to find another way, so I worked in the creation of a Social Investment Fund (FIS), that the Guatemalan Congress approved and located large amounts of resources from the national budget to make investments at a micro level in all kind of projects. This initiative was then replicated within the rest of Central American countries, and now the Social Investment Fund is an institution that has a presence in 68 countries around the world.

CV Rodolfo E. Paiz—October, 2007

Academic Background:

1989-90	Fellow, Harvard University
1982-83	Máster in Public Administration
	<ul style="list-style-type: none">• Harvard University, John F. Kennedy School of Government
1969-71	Doctor in Business Administration, DBA
	<ul style="list-style-type: none">• Harvard University, Harvard Business School
1965-67	Master in Business Administration, MBA
	<ul style="list-style-type: none">• Harvard University, Harvard Business School
1958-65	Civil Engineer, Universidad San Carlos, School of Engineering, Guatemala

Business Background:

1990-2007	Executive President, Metacentros, S. A., and Factorent, S.A. Guatemala
	<ul style="list-style-type: none">• Create, develop, market and sell shopping malls and other real state projects.
1976-1985	General Manager, Metacentros, S. A., Guatemala
1970 to 1996	Vice President of planning, Paiz Organization, Guatemala
	<ul style="list-style-type: none">• Paiz Organization is the largest retail organization in Central American, with sales of US\$350 million in 1993, comprised of retailing chains, including supermarkets, discount stores, convenience stores, toy stores and other specialty stores.
1976 to date	President and Member of the Board of several companies in Guatemala.

Political Background:

1990 to date	President, Association of Innovative Legislative Systems
	<ul style="list-style-type: none">• Spearheaded creation and development of the association, which includes the membership of all political parties in Guatemala, agreed to by each party's leaders, in order to achieve united efforts of democracy and peace.
1984 to 1994	Member of National Executive Committee, Christian Democratic Party, Guatemala
1983 to 1994	President of Technical Advisory, Christian Democratic Party, Guatemala
1989	President of Autonomous Electoral Tribune
	<ul style="list-style-type: none">• Coordinated effort of national party elections, which nominated the party's presidential candidate, in order to ensure secret and honest voting.

Public Background:

1986-1989	Minister of Finance, Government of Guatemala
	<ul style="list-style-type: none">• Also responsible for creating and implementing catalyst economic programs such as: Short Term Economic and Social Reorder Plan (1986), National Reorganization plan (1987), and Multiplying Development plan (1989).

This material is distributed by Bracy Tucker Brown, Inc. on behalf of FundaSistemas.
Additional information is available at the Department of Justice, Washington, D.C.

- 1986-1989 Member of Monetary Board, Central Bank of Guatemala
- The Monetary Board makes the monetary decisions affecting issuing of currency, foreign exchange, monetary reserves, etc.

- 1986-1989 Governor representing Guatemala for World Bank and International Development Bank

Civil Society Activities:

Board of directors, ASIES, Board of Directors, Fundación Paiz, founder and Board of Directors, Fundasistemas, Board of Directors Instituto de Gobernanza.

- 1993 to 1995 President of Council of Social Welfare
- Coordinates activities of several private non-profit public care programs.

Other activities: President of Enrollment Month for Boy Scouts of Guatemala (1982-84). Founder and President for Women's Development (1982-83), President of Rotary Club of Guatemala (1981-82) and member since 1978, President of General Managers' Association (1979-1981)

Publication List

- 1974 **Doctoral Dissertation: *Experimenting with New Forms of Retail Distribution.***
- 1985 INCAE—Central American Institute of Business Administration, Nicaragua.
Guatemala: A National Project. Published by the Guatemalan Christian Democratic Party.
- 1986 ***Guatemala: Economic and Social Reordering Program.*** Published by the Government of Guatemala.
- 1987 ***Guatemala: National Reorganization Plan.*** Published by the Government of Guatemala.
- 1989 ***Leveraging Development.*** Published by the Guatemalan Ministry of Finance.

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